

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

145865.00005

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/868025

INTERNATIONAL APPLICATION NO.  
PCT/IN00/00099INTERNATIONAL FILING DATE  
11 October 2000PRIORITY DATE CLAIMED  
13 October 1999

TITLE OF INVENTION ISOLATED NUCLEIC ACID SEQUENCE CONFERRING SALT TOLERANCE IN RICE PLANT

APPLICANT(S) FOR DO/EO/US Dr. Villo Morawala PATELL, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Express Mailing Certificate, Return Postcard, Transmittal Letter (in duplicate), Check for basic filing fee of, \$860, Preliminary Amendment, PCT Request Form PCT/RO/101, International Application No. WO 01/30990 A2, Application Specification, Letter dated 28 Feb 01 to WIPO, Letter dated 12 June 01 to WIPO, Second Letter dated 12 June 01 to WIPO, and Applicants' Redlined Specification showing changes.

U.S. APPLICATION NO. **09/868025**

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CALCULATIONS PTO USE ONLY

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO. .... \$1000.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	7 - 20 =		x \$18.00
Independent claims	1 - 3 =		x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>			

\$

\$

\$

\$

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$

**SUBTOTAL =**

\$ 860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 860.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-1682. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Richard Wilder Reg No. 35,020

SIGNATURE

Richard Wilder

NAME

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REGISTRATION NUMBER

June 13, 2001

02/868025  
JC02 Rec'd PCT/PTO 13 JUN 2001

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Date: June 13, 2001

Box PCT Applications  
Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**EXPRESS MAILING CERTIFICATE**

Applicant(s): Dr. Villo Morawala PATELL, et al.

Serial No. (if assigned): Not yet assigned

For: Isolated Nucleic Acid Sequence Conferring Salt Tolerance in Rice Plant

Attorney Docket No.: 145865.00005

Attorney: Richard Wilder

Express Mail Mailing Label No.: ..... ET 498138007US

Date of Deposit: ..... June 13, 2001

I hereby certify and verify that the accompanying Express Mailing Certificate, Return Postcard, Transmittal Letter (in duplicate), Copy of Applicants' Redlined Specification, Preliminary Amendment along with Marked Up Version Showing Changes Made, Check for basic filing fee of \$860, PCT Request Form PCT/RO/101, International Application No. WO 01/30990 A2, Application Specification, Letter dated 28 Feb 01 to WIPO, Letter dated 12 June 01 to WIPO, and Second Letter dated 12 June 01 to WIPO are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Box PCT Applications, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of Person Mailing Document(s)